

Transform your **regulatory compliance** through automation and empower your Financial Institution to grow and innovate.

Banks | Credit Unions | Brokerage | Insurance | Mortgage | Investment

As more scrutiny, regulations, and penalties are being issued to counter emerging compliance risks, financial institutions have to juggle between constantly changing requirements for both existing and new laws.

Simplify and automate your compliance program

- **Stay on top** of the constantly changing regulatory landscape pertinent to your financial institution.
- **Eliminate the need** for manual, error-prone, and time-consuming spreadsheets.
- **Increase visibility** into upcoming regulatory changes and revisions with horizon scanning.
- **Minimize the need to outsource** top-tier consultants and regulatory content data providers.
- **Streamline** the process of **managing third-party risks** when dealing with new partners and providers.
- **Reduce regulatory risk** by doubling down on global governance, risk management, and controls.

Save time, resources, and costs

The Regology platform provides a comprehensive, automated way of overseeing:

- 1** Regulation discovery, implementation, ongoing audits, and reporting
- 2** The downstream effects that result from those regulatory changes
- 3** Relevant regulatory changes that apply to your Financial Institution

Automate and reduce

 **95%** reduction in the noise from regulatory updates

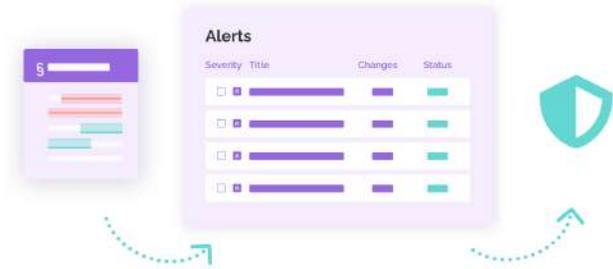
 **80%** less time spent on process reviews

Regology's end-to-end Regulatory Change and Compliance Management solutions

Our regulatory intelligence platform enables Financial Institutions to quickly identify and organize applicable legal content, proactively monitor regulatory changes, and map policies, risks, and controls.

It houses both regulatory change and compliance management solutions, helping teams to have one source of truth, establish clear processes, and cut out the noise.

Whether you have your own library of laws or are looking for guidance on which laws and regulations are pertinent for your financial institution, Regology can help.



Key benefits

- **Improve the effectiveness** of existing governance, risk, and controls as well as their mapping and implementation
- **Ensure proper maintenance** of the current, new, and revised regulatory requirements on one platform
- **Increase the speed** of law identification and reduce the risks and gaps in law coverage associated with acquisition activity
- **Tackle siloed workflows** and **improve team productivity** with greater automation and accuracy of updates

Smart Law Library

The most comprehensive digital law library on the market, spanning US federal and state legislation, a growing number of global markets, and more than 30 countries today.

Curated Regulatory Content

- Anti-money laundering (AML)
- Digital assets and crypto
- Third-party risk management
- Cyber and operational resiliency
- Privacy and consumer protection
- Climate and corporate responsibility

Regulatory Change Management Solution

Track new bills, monitor relevant regulatory updates, stay up to date with enforcement actions, and proactively scan the horizon for legislation that could affect current and future lines of business.

Regulatory Compliance Management Solution

Build out and activate compliance programs that have clean, well-defined, and properly mapped out processes. Eliminate multi-versioned spreadsheets, cumbersome manual processes, and siloed workflows.

Learn more about Regology and how we can help simplify regulatory compliance for your financial institution by visiting

www.regology.com